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# Atmospheric fates of Criegee intermediates in the ozonolysis of isoprene†

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We use a large laboratory, modeling, and field dataset to investigate the isoprene + O<sub>3</sub> reaction, with the goal of better understanding the fates of the C<sub>1</sub> and C<sub>4</sub> Criegee intermediates in the atmosphere. Although ozonolysis can produce several distinct Criegee intermediates, the C<sub>1</sub> stabilized Criegee (CH<sub>2</sub>OO, 61 ± 9%) is the only one observed to react bimolecularly. We suggest that the C<sub>4</sub> Criegees have a low stabilization fraction and propose pathways for their decomposition. Both prompt and non-prompt reactions are important in the production of OH (28% ± 5%) and formaldehyde (81% ± 16%). The yields of unimolecular products (OH, formaldehyde, methacrolein (42 ± 6%) and methyl vinyl ketone (18 ± 6%)) are fairly insensitive to water, *i.e.*, changes in yields in response to water vapor (≤4% absolute) are within the error of the analysis. We propose a comprehensive reaction mechanism that can be incorporated into atmospheric models, which reproduces laboratory data over a wide range of relative humidities. The mechanism proposes that CH<sub>2</sub>OO + H<sub>2</sub>O ( $k_{(\text{H}_2\text{O})} \sim 1 \times 10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) yields 73% hydroxymethyl hydroperoxide (HMHP), 6% formaldehyde + H<sub>2</sub>O<sub>2</sub>, and 21% formic acid + H<sub>2</sub>O; and CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>2</sub> ( $k_{(\text{H}_2\text{O})_2} \sim 1 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) yields 40% HMHP, 6% formaldehyde + H<sub>2</sub>O<sub>2</sub>, and 54% formic acid + H<sub>2</sub>O. Competitive rate determinations ( $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})n=1,2} \sim 2.2 (\pm 0.3) \times 10^4$ ) and field observations suggest that water vapor is a sink for greater than 98% of CH<sub>2</sub>OO in a Southeastern US forest, even during pollution episodes ([SO<sub>2</sub>] ~ 10 ppb). The importance of the CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>n</sub> reaction is demonstrated by high HMHP mixing ratios observed over the forest canopy. We find that CH<sub>2</sub>OO does not substantially affect the lifetime of SO<sub>2</sub> or HCOOH in the Southeast US, *e.g.*, CH<sub>2</sub>OO + SO<sub>2</sub> reaction is a minor contribution (<6%) to sulfate formation. Extrapolating, these results imply that sulfate production by stabilized Criegees is likely unimportant in regions dominated by the reactivity of ozone with isoprene. In contrast, hydroperoxide, organic acid, and formaldehyde formation from isoprene ozonolysis in those areas may be significant.

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# 1. Introduction

Ozonolysis is one of the main atmospheric oxidation pathways for volatile alkenes. Reaction with ozone globally removes  $\sim 10\%$  of isoprene ( $C_5H_8$ ), the most abundant alkene in the atmosphere. For monoterpenes ( $C_{10}H_{16}$ ) and sesquiterpenes ( $C_{15}H_{24}$ ), ozonolysis is a substantially larger sink due to their faster rate coefficients with ozone.<sup>1</sup> The first steps of the alkene ozonolysis mechanism are shown in Fig. 1.<sup>2</sup> Two primary ozonides (POZ) are formed from ozone addition at either double bond of isoprene, decomposing into methacrolein (MACR), methyl vinyl ketone (MVK), formaldehyde (HCHO), and potentially up to nine activated Criegee intermediates (CI, denoted with asterisk). The  $C_4$  Criegees (MACR-OO\* and MVK-OO\*) can be formed with four conformations each that are *syn* or *anti* to methyl or vinyl groups. The CI can experience a few unimolecular processes – most notably, decomposition<sup>3</sup> into a hydroxyl radical (OH) and a beta-oxy alkyl radical (R) and thermalization by atmospheric gases to form the stabilized Criegee intermediate (SCI). In addition, a fraction of SCI has been suggested to be formed through POZ decomposition.<sup>4</sup> Most of the OH from isoprene ozonolysis is thought to be produced by the *syn*-methyl MVK-OO conformers (Fig. 1, g and h) via the formation of a vinyl hydroperoxide (VHP) intermediate.<sup>5–9</sup>

The stabilized Criegees (SCIs) may undergo bimolecular reaction with a number of atmospheric species, including water vapor ( $H_2O$ ), sulfur dioxide ( $SO_2$ ), formic acid (HCOOH), carbonyls (e.g., HCHO and acetaldehyde), NO,  $NO_2$ ,  $O_3$ ,  $RO_2$ , alkenes, among others.<sup>10–16</sup> Even if a substantial fraction of CIs are stabilized, they may still experience unimolecular losses. The structure, and even conformation, of the SCI dictate their unimolecular and bimolecular reactivities,<sup>9</sup> with *syn* SCI more susceptible to decomposition. The simplest SCI ( $CH_2OO$ ) has special importance in atmospheric chemistry as it is produced by all exocyclic alkenes, including isoprene. Unlike other SCIs, however,  $CH_2OO$  is non-*syn*

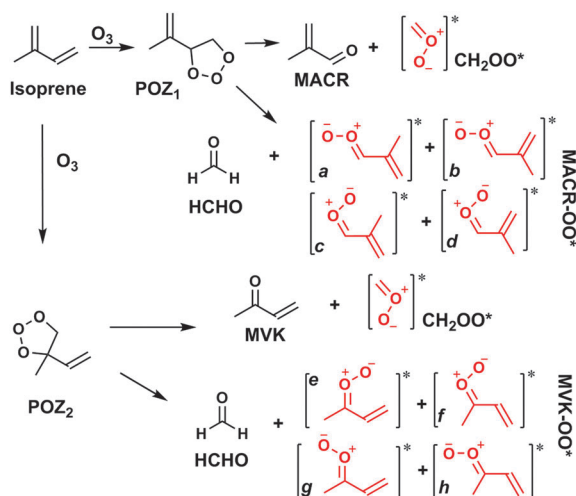


Fig. 1 The first steps of the Criegee mechanism of ozonolysis, shown for isoprene. Criegee intermediates are drawn as zwitterions; however, depending on the chemical structure, they may also have diradical character.

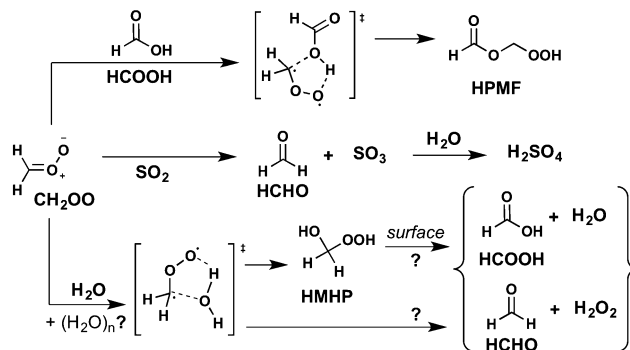


Fig. 2 The reaction of  $CH_2OO$  with HCOOH,  $SO_2$ , and  $H_2O$  (and possibly water clusters). The production of HCOOH +  $H_2O$  and HCHO +  $H_2O_2$  from the water reaction has been suggested to (at least partially) result from surface-mediated decomposition of HMHP;<sup>27</sup> however, it is not clear if there are direct routes to these products from  $CH_2OO$  +  $H_2O$ .

(i.e., not facing any hydrocarbon groups), which greatly reduces its unimolecular reactivity.<sup>17</sup>

Fig. 2 shows a simplified reaction scheme between  $CH_2OO$  and water (or water clusters),<sup>18–21</sup> sulfur dioxide ( $SO_2$ ), and formic acid (HCOOH). The  $CH_2OO$  +  $(H_2O)_n$  reaction (where  $n = 1, 2, \dots$ ) produces hydroxymethyl hydroperoxide (HMHP), hydrogen peroxide ( $H_2O_2$ ) + formaldehyde (HCHO), and formic acid (HCOOH) +  $H_2O$  as main products.<sup>22–28</sup> The  $CH_2OO$  + HCOOH reaction produces hydroperoxy methylformate (HPMF).<sup>23,27,29</sup> Finally, the  $CH_2OO$  +  $SO_2$  reaction produces  $SO_3$ , which then reacts with water to form  $H_2SO_4$ .<sup>30</sup>

Certain populations of SCIs may produce OH,<sup>31</sup> perhaps analogously to the hot Criegee VHP channel, among other products. Decomposition of SCIs is rarely discussed within the scope of the atmospheric fates; however, it is an important consideration in understanding their total reactivity. Previously published unimolecular decomposition rates for larger Criegees have high uncertainty, so the following is only a qualitative discussion. SCI decomposition rates has been shown to increase with size.<sup>11,32</sup> For example, even though the thermalized acetone oxide ( $(CH_3)_2COO$ ) has been recently reported to undergo a diffusion-limited reaction with  $SO_2$ ,<sup>33</sup> its short unimolecular lifetime due to its all-*syn* conformation, i.e., both sides facing methyl groups, severely limits the atmospheric relevance of its bimolecular reactions ( $\tau_{uni} = 0.001–0.004$  s).<sup>32–34</sup> It should be noted that experimental determinations of unimolecular lifetimes (e.g., 0.002 s)<sup>33</sup> may have some contribution from Criegee self-reaction; thus,  $\tau_{uni}$  may be closer to the higher end of the reported range. The ratio  $k_{decomp}/k_{SO_2}$  for *syn*- $CH_3CHOO$  and  $(CH_3)_2COO$  have been measured to be 1 and 2 orders of magnitude higher than that for  $CH_2OO$ , respectively.<sup>32</sup> Thus, even in a polluted atmosphere ( $\sim 10$  ppb  $SO_2$  and 50% RH at room temperature), decomposition using the Olzmann *et al.* (1997) lower-limit rate coefficient accounts for the majority ( $\sim 76\%$ ) of the acetone oxide fate, while the reaction with  $SO_2$  is minor ( $\sim 8\%$ ). The Newland *et al.* (2015) relative rate coefficient (using  $k_{SO_2}$  of Huang *et al.* (2015)) predicts an even higher decomposition fraction.

The present work focuses on understanding the bimolecular reactive channels of CH<sub>2</sub>OO and, more generally, the mechanism of isoprene ozonolysis in the atmosphere. We neglect the unimolecular reactions for CH<sub>2</sub>OO, as it has a long lifetime with respect to decomposition at 298 K and 1 atm ( $\sim 3$  s).<sup>34</sup> Furthermore, we provide suggestions for a unifying reaction scheme that may be incorporated into atmospheric models.

## 2. Experimental

### 2.1. Chamber methods

Experiments were conducted in the Caltech dual 24 m<sup>3</sup> Teflon environmental chambers at  $\sim 295$  K and  $\sim 1$  atm. A subset of the work was performed as part of the FIXCIT campaign and the overview manuscript<sup>35</sup> provides an in-depth description of the chamber and relevant experiments. Product yield studies were investigated with isoprene and ozone mixing ratios of  $\sim 100$  and 600 ppb, respectively, and relative humidity (RH) in the approximate range of  $< 4$ –76%. The production of OH was investigated in the absence of a chemical scavenger, but all other studies were performed with excess cyclohexane (50 ppm) to scavenge OH. Although excess cyclohexane is used, a minor fraction of the products will result from OH chemistry. Relative rate experiments were used to investigate the competition between SO<sub>2</sub> and H<sub>2</sub>O at lower isoprene and ozone mixing ratios ( $\sim 25$  ppb and 100 ppb, respectively) and 10 ppm cyclohexane.

RH inside the reaction chambers was adjusted to the desired level at the beginning of each experiment with a Nafion membrane humidifier (Permapure, LLC) and recirculating ultra-purified water (Millipore Milli-Q, 18 M $\Omega$ ,  $< 3$  ppb TOC). The RH was stable throughout each experiment, as verified by a Vaisala HMT221 probe that was calibrated in the range of 11–95% with saturated salt solutions. Water vapor in the range of RH  $< 10\%$  was measured by chemical ionization mass spectrometry (CIMS, see Section 2.2). However, the accuracy of RH measurements degrades in the lower range due to the difficulty in determining small mixing ratios of H<sub>2</sub>O; thus we quote “dry” RH as “ $< 4\%$ ”. Although RH in dry conditions is quoted as an upper bound, we estimate the actual RH in the chamber is closer to 1%. Ozone was introduced into the chamber by flowing air through a commercial UV ozone generator. Reagents, *e.g.*, isoprene (Aldrich,  $\geq 99\%$ ) cyclohexane (CHX, Aldrich  $> 99\%$ ), were introduced into the chamber by volumetric injection of liquid material using Hamilton gas-tight syringes. In general, the order of introduction was water vapor, ozone, cyclohexane, and then isoprene. For relative rate studies, gaseous SO<sub>2</sub> (standard mixture 10 ppm in N<sub>2</sub>, Scott Specialty Gases) was introduced into the chamber using a calibrated mass flow controller. After injection of isoprene, several short high-pressure pulses of air were introduced into the chamber to homogenize the contents of the chamber so that the reaction can start immediately and uniformly. We verified that injected gases were well-mixed in  $< 5$  minutes using this method. The duration of a typical experiment was 5–7 hours.

### 2.2. Analytical quantification

Isoprene, methacrolein (MACR), methyl vinyl ketone (MVK), and cyclohexane (CHX) were quantified by gas chromatography with a flame ionization detector (GC-FID). The GC-FID was calibrated with commercial standards (Aldrich) in the range of 20–200 ppb by use of volumetric gas-tight syringes and a calibrated mass flow of N<sub>2</sub> into a 100L Teflon calibration bag. Additionally, the absolute quantities of ISOP, MACR, and MVK was cross calibrated using Fourier transform infrared spectroscopy (FT-IR) in the range of 1–20 ppm *via* a similar method. The ppm-level calibration bags were quantified with FT-IR using tabulated absorption cross sections<sup>36</sup> before sampling with GC-FID. The mixing ratio of ozone was quantified by a calibrated ozone absorption monitor (Horiba APOA-360). The mixing ratios of NO and NO<sub>2</sub> were quantified with a commercial NO<sub>x</sub> monitor (Teledyne T200). NO was observed at baseline level (limit of detection 0.5 ppb) and NO<sub>2</sub> remained below 5 ppb during ozonolysis experiments. Sulfuric acid aerosols were measured using a time-of-flight aerosol mass spectrometer (AMS, Aerodyne)<sup>37</sup> and data processing was performed using the Pika 1.14D analysis module in Igor Pro. The instrumental ionization efficiency was calibrated with 350 nm ammonium nitrate particles.

Formaldehyde and HO<sub>x</sub> (OH and HO<sub>2</sub>) were measured *in situ* by two laser-induced fluorescence (LIF) instruments during the FIXCIT campaign. The University of Wisconsin (UW) LIF instrument<sup>38,39</sup> quantified formaldehyde from the difference between its online (353 nm) and offline signal. The Pennsylvania State University (PSU) Ground-based Tropospheric Hydrogen Oxides Sensor (GTHOS)<sup>40</sup> measured OH and HO<sub>2</sub> by the fluorescent assay by gas expansion (FAGE) technique. OH was quantified spectroscopically (near 308 nm) and the zero background is determined using hexafluoropropene (C<sub>3</sub>F<sub>6</sub>) as an OH scrubber in the instrument inlet. HO<sub>2</sub> was measured after its chemical conversion in the instrument inlet to OH using pure NO (HO<sub>2</sub> + NO  $\rightarrow$  OH + NO<sub>2</sub>). The known interference of HO<sub>2</sub> measurement by RO<sub>2</sub> radicals<sup>41</sup> was corrected in the following manner: the NO addition to GTHOS was modified to reduce the reaction time and the amount of NO added. Although the conversion of HO<sub>2</sub> to OH was decreased from  $\sim 90\%$  to less than 10%, the conversion of RO<sub>2</sub> to OH was reduced to less than 1%, so that more than 95% of the signal was due to converted HO<sub>2</sub> and only a few percent was due to RO<sub>2</sub>.<sup>41</sup> These conversion rates were measured with GTHOS in the Brune laboratory at PSU and are similar to those determined by Fuchs *et al.* (2011).

Gas-phase hydroperoxides (H<sub>2</sub>O<sub>2</sub>, HMHP, MHP, *etc.*), acidic compounds (SO<sub>2</sub>, HCOOH, *etc.*), and other volatiles with more than one polar functional group (*e.g.*, hydroxy carbonyls) are quantified with a triple-quadrupole chemical ionization mass spectrometer (CIMS) using CF<sub>3</sub>O<sup>−</sup> as an ionization reagent.<sup>42,43</sup> The sample flow from the chamber was diluted by a factor of 12 with dry N<sub>2</sub> before mass spectrometry analysis. The dry (RH  $< 4\%$ ) sensitivity of triple-quadrupole CF<sub>3</sub>O<sup>−</sup> CIMS to different analytes was cross-calibrated with a CF<sub>3</sub>O<sup>−</sup> time-of-flight (ToF) CIMS during the FIXCIT campaign. The ToF CIMS was calibrated for a variety of compounds (H<sub>2</sub>O<sub>2</sub>, HMHP, HCOOH, SO<sub>2</sub>, peracetic acid (PAA), acetic acid (AA), hydroxyacetone (HAC), *etc.*)

with commercial or synthesized standards based on gravimetric or spectrometric techniques (see Section S3 of ref. 44 for more information). Table S1 (ESI†) provides more information about CIMS detection of the major compounds discussed in this work. The CIMS measurement uncertainties are approximately 20–30% for calibrated compounds (e.g., HCOOH) and ~50% for uncalibrated compounds (e.g., HPMF).

In addition to the dry sensitivity, the dependence of the ion chemistry on water vapor is unique to each CIMS instrument and is critical for the accurate interpretation of RH-dependent yields. We obtained the water-dependent calibration curves in the experimental RH range by introducing a sample stream (containing a stable gaseous source of each compound) and a dilution stream that has tunable water vapor content to the CIMS flow tube region. The water vapor mixing ratio of the dilution stream was achieved by mixing flow-controlled quantities of a humid air stream ( $[\text{H}_2\text{O}] \sim 3\%$ , quantified by FT-IR) and a dry  $\text{N}_2$  stream ( $[\text{H}_2\text{O}] < 100$  ppm). A stable source of HMHP, for which a commercial standard is unavailable, was synthesized in the Teflon chamber using the  $\text{HCHO} + \text{HO}_2$  reaction,<sup>45</sup> which produces a low yield of HMHP. The photolysis of HCHO (~2 ppm) generates the  $\text{HO}_2$  that is needed to react with HCHO. The UV lights were turned off after approximately 1 hour, and the ~6 ppb HMHP formed during the photolytic period was stable in the dark indefinitely. A typical water-dependent calibration alternates a dry data point with several humid points and zeros (where sample flow is shut off), after each period is allowed to stabilize (Fig. S1, ESI†). Water curves were obtained for HCOOH,  $\text{H}_2\text{O}_2$ , and  $\text{SO}_2$  using commercial standards as the sample source, in an identical manner. The sensitivity of the CIMS toward HPMF was not measured, but was assumed to be similar to HMHP based on the molecular characteristics of these two compounds.<sup>46</sup>

### 2.3. Wall loss corrections

Alpha-hydroxy hydroperoxides like HMHP have a propensity to participate in heterogeneous reactions on humid surfaces.<sup>27</sup> Thus, we measured wall loss rates for HMHP, HCOOH, and  $\text{H}_2\text{O}_2$  as a function of RH to correct for this effect. HMHP was synthesized *via* an alternative method to the one described in Section 2.2: a gaseous mixture of formaldehyde/ $\text{N}_2$  (produced by flowing dry  $\text{N}_2$  past heated paraformaldehyde solid) was bubbled into an aqueous  $\text{H}_2\text{O}_2$  solution (50% v/v). The outflow of the bubbler (containing HCHO, HMHP, HCOOH, and  $\text{H}_2\text{O}_2$ ) was introduced into the chamber until the signal of HMHP in CIMS was adequate, after which the flow was stopped and the wall loss was monitored for 8–10 hours. The production of HCOOH from HMHP conversion may obscure the HCOOH wall loss to a degree. However, by virtue of the synthesis method (high water content in the  $\text{H}_2\text{O}_2$  bubbler), the HCOOH mixing ratio in the chamber was more abundant than HMHP by a factor of 100, so that even if all of the HMHP were converted to HCOOH, the production yield signal would impact  $k_{\text{wall}}$  of HCOOH by only 1%. We did not observe noticeable wall loss of HMHP, HCOOH, or  $\text{H}_2\text{O}_2$  under dry conditions (Fig. S2, ESI†); however, the wall loss rates become non-negligible at the highest RH investigated (72%), where HMHP was removed at a rate of approximately 0.1% per minute. The humidity-dependent wall loss rates ( $k_{\text{wall\_HMHP}} = -1.4 \times 10^{-5} \times \text{RH min}^{-1}$ ,  $k_{\text{wall\_H}_2\text{O}_2} = -9.6 \times 10^{-6} \times \text{RH min}^{-1}$ , and  $k_{\text{wall\_HCOOH}} = -2.2 \times 10^{-6} \times \text{RH min}^{-1}$ ) were used to correct the CIMS data.

## 3. Results and discussion

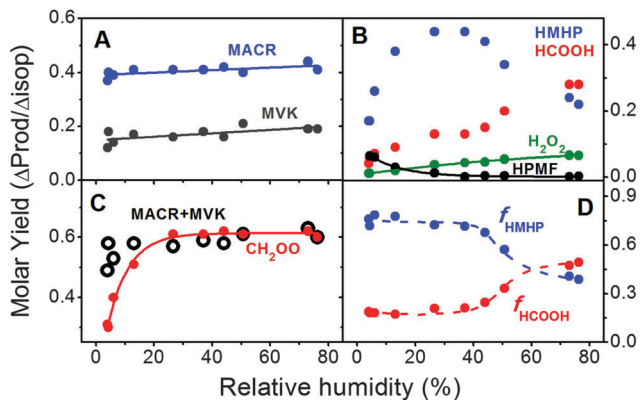
### 3.1. Humidity-dependent product yields

The molar yields of products from the isoprene ozonolysis in the RH range of <4–76% are reported in Table 1. Fig. 3 shows

**Table 1** Molar yields of major products for different RH and  $\text{SO}_2$  conditions. Initial conditions of ISO and  $\text{O}_3$  in B are similar to A, except CHX was not added as a reagent.  $\text{CH}_2\text{OO}$  yields for A are obtained by summing the yields of  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  and  $\text{CH}_2\text{OO} + \text{HCOOH}$  products measured using CIMS. In C,  $\text{CH}_2\text{OO}$  yield determinations include the measurement of  $\text{H}_2\text{SO}_4$  aerosol using AMS. See Table S2 (ESI) for details on quantification with CIMS. Missing entries indicate unavailability of instruments or irrelevancy of data. Measurement uncertainties are:  $\text{SO}_2$  ( $\pm 20\%$ ),  $\text{CH}_2\text{OO}$  ( $\pm 15$  AMS,  $\pm 30\%$  CIMS), MACR ( $\pm 15\%$ ), MVK ( $\pm 33\%$ ), OH ( $\pm 25\%$ ), HCHO ( $\pm 20\%$ ), HCOOH ( $\pm 10\%$ ),  $\text{H}_2\text{O}_2$  ( $\pm 20\%$ ), HMHP ( $\pm 20\%$ ), HPMF ( $\pm 50\%$ ). BDL = below detection limit for GC-FID

Experiment type ( $T = 295$ K)	$\text{SO}_2$ (ppb)	RH (%)	Yield ( $\text{CH}_2\text{OO}$ )	Yield (MACR)	Yield (MVK)	Yield (OH)	Yield (HCHO)	Yield ( $\text{HCO}_2\text{H}$ )	Yield ( $\text{H}_2\text{O}_2$ )	Yield (HMHP)	Yield (HPMF)
(A) Product yields (scavenger)	0	<4	0.30	0.39	0.15	—	0.79	0.05	0.012	0.17	0.064
[ISO] ~ 100 ppb	0	6	0.40	0.39	0.14	—	—	0.07	0.014	0.26	0.060
[ $\text{O}_3$ ] ~ 600 ppb	0	13	0.51	0.41	0.17	—	—	0.09	0.019	0.38	0.030
[CHX] ~ 50 ppm	0	27	0.61	0.41	0.16	—	—	0.13	0.038	0.44	0.013
	0	37	0.61	0.41	0.18	—	0.83	0.13	0.044	0.44	0.001
	0	44	0.62	0.42	0.16	—	—	0.15	0.046	0.41	0.005
	0	51	0.61	0.40	0.21	—	—	0.20	0.054	0.34	0.004
	0	73	0.62	0.44	0.19	—	—	0.28	0.066	0.24	0.002
	0	76	0.60	0.41	0.19	—	—	0.28	0.065	0.22	0.003
(B) Product yields (no scavenger)	0	4	—	—	—	0.28	—	—	—	—	—
	0	52	—	—	—	0.28	—	—	—	—	—
(C) Relative rate	15	20	0.60	BDL	BDL	—	—	—	—	—	—
[ISO] ~ 25 ppb	15	3	0.65	BDL	BDL	—	—	—	—	—	—
[ $\text{O}_3$ ] ~ 120 ppb	75	3	0.66	BDL	BDL	—	—	—	—	—	—
[CHX] ~ 10 ppm											





**Fig. 3** Molar yields of the isoprene + O<sub>3</sub> reaction products (A–C) at several RH conditions. The CH<sub>2</sub>OO yield in panel C is inferred from the sum of the scavenged products of CH<sub>2</sub>OO with water vapor and formic acid. Panel D shows the fraction of water-scavenged CH<sub>2</sub>OO that is observed as HMHP ( $f_{\text{HMHP}}$ ) and HCOOH ( $f_{\text{HCOOH}}$ ). Solid lines indicate least-squares fits, when applicable, and dashed lines only serve to guide the eyes. HMHP and HCOOH in panel B can each be fit by two exponential curves delineated at RH  $\sim$  40%, but no singular relationship.

the trends in yields of select gas-phase organic products measured by GC-FID and CIMS. As expected, the “prompt” products, *i.e.*, those formed primarily from the decomposition of primary ozonides (POZ) such as HCHO, MACR, and MVK, do not exhibit a strong dependence on water vapor (Fig. 3A and Table 1). This is also true for yields of OH radicals, which are produced from decomposition channels. The observation that OH yields from isoprene ozonolysis are independent of humidity has been reported in other works.<sup>5,47</sup> Further insights on the sources of OH and HCHO yields are obtained by model simulations (see Section 3.2). The yields of carbonyls and OH from this work are not significantly different from those reported elsewhere.<sup>8,9,48–51</sup> The trends in yields for carbonyls are slightly positive with humidity, possibly supporting a minor production from SCI + (H<sub>2</sub>O)<sub>n</sub> reaction (H<sub>2</sub>O<sub>2</sub> as coproduct). However, the measurement uncertainties are significant (10–30%) and, thus, this channel was treated as minor in the development of our mechanism.

Stabilized CH<sub>2</sub>OO yields obtained by a chemical scavenging method were similar whether H<sub>2</sub>O or SO<sub>2</sub> was used as the Criegee scavenger (Table 1,  $Y_{\text{SCI}} \sim 0.60$  using CIMS, and  $\sim 0.64$  using AMS). As the detection of scavenged products utilized two independently-calibrated instruments, their agreement lends further confidence to the yield results. Our CH<sub>2</sub>OO determination is consistent, within uncertainties, with those reported recently (0.56–0.60).<sup>32,52</sup> However, it is in poor agreement with the 0.27 yield determined by Hasson *et al.* (2001).<sup>49</sup> We believe the discrepancy is due to the fact that HCOOH and H<sub>2</sub>O<sub>2</sub> were not counted as CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>n</sub> products in the Hasson *et al.* (2001) work, and the offline HMHP determination may have experienced aqueous losses. The CH<sub>2</sub>OO yield reported here is supported by independent observations of its co-products, MVK and MACR (Fig. 1). Fig. 3C shows that the CH<sub>2</sub>OO yield determined here is in good agreement with the C<sub>4</sub> carbonyl sum at high water vapor mixing ratios where CH<sub>2</sub>OO is fully scavenged. The inferred CH<sub>2</sub>OO yield in our work does not

include formaldehyde as a product due to limited data. Formaldehyde formation becomes important at low RH because of competing reactions such as CH<sub>2</sub>OO + O<sub>3</sub>; thus, a significant deviation in the inferred CH<sub>2</sub>OO yield compared to the C<sub>4</sub> carbonyl sum occurs in the low RH range.

The products derived from CH<sub>2</sub>OO bimolecular reactions have a strong relationship with water vapor mixing ratio due to competition from the CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>n</sub> reaction (Fig. 3B). Hydroperoxy methylformate (HPMF), seemingly the sole product of the CH<sub>2</sub>OO + HCOOH reaction (Fig. S3, ESI<sup>†</sup>), is observed only under very dry conditions in accordance with previous reports.<sup>27,53</sup> This is because formic acid in ozonolysis experiments is rarely present at the levels needed to compete with water vapor. In addition to compounds reported in Table 1, RH-dependent yields of minor species like acetic acid were also observed (<0.06). Representative CIMS mass spectra showing all products are given in Fig. S4 (ESI<sup>†</sup>). Acetic acid has not been identified in past isoprene ozonolysis studies, but serves as an important clue in deducing the fragmentation patterns of C<sub>4</sub> Criegees.

HMHP is the most abundant CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>n</sub> product, followed by formic acid, then H<sub>2</sub>O<sub>2</sub> (+ HCHO). The maximum HMHP yield is determined to be  $\sim$ 44% from isoprene ( $\sim$ 73% from CH<sub>2</sub>OO), somewhat higher than other values reported in the literature. Insightful comparisons with literature values prove challenging, however, due to the poor agreement in CH<sub>2</sub>OO + (H<sub>2</sub>O)<sub>n</sub> product yields. For example, single-point “humid” HMHP and H<sub>2</sub>O<sub>2</sub> yields are reported to be 0.09–0.30 and 0.01–0.12, respectively.<sup>27,49,50,54,55</sup> Some of the inconsistencies in past experiments have been attributed to the challenge of quantifying hydroperoxides with offline aqueous methods (*e.g.*, high-performance liquid chromatography (HPLC)).

Interestingly, we find HMHP yields decrease above RH  $\sim$  40% (Fig. 3B). The reduction in yield for HMHP at high humidity is almost fully compensated by an increase in yield for HCOOH (Fig. 3D). Although wall-mediated reaction is a convenient explanation, our RH-dependent corrections for wall loss using authentic compounds should account for this chemistry (Fig. S2, ESI<sup>†</sup>). Instead, model simulation results in Section 3.2 support the idea that the RH-dependent yields of HMHP and HCOOH are controlled by reactions of both the water monomer and dimer. The dimer becomes exceedingly more abundant at higher RH. As the model simulations fit concentration data that have been wall-loss corrected, the heterogeneous reaction is not included in the mechanism. The atmospherically-relevant reaction of water dimer with CH<sub>2</sub>OO was first suggested by Ryzhkov and Ariya<sup>18,21,28</sup> and later confirmed by experimental works.<sup>19,20</sup> Ryzhkov and Ariya suggested the decomposition products to be H<sub>2</sub>O<sub>2</sub> and HCHO; however, our data are more consistent with HCOOH as the major product from this reaction.

Past studies explored a large range in water vapor mixing ratio (9000–20 000 ppm, RH  $\sim$  28–63% at 298 K) while reporting only a single ‘humid’ yield for products. Thus, it is possible that poor literature agreement may be due to snap-shot observations along different humidity points in the HMHP yield curve. These disagreements are likely exacerbated by the absence of wall loss corrections, which depend on the reaction vessel.

To our knowledge, only two other HMHP yield studies have been performed at multiple RH conditions.<sup>24,49</sup> Hasson *et al.* (2001) and Huang *et al.* (2013) did not report yield trends similar to this work, *i.e.*, their data reported a rise-to-maximum relationship of HMHP with RH (maximum yields of 16% and 25%, respectively). Yet, despite the fitting function used by Hasson *et al.* (2001), their data show that the average HMHP yield at RH  $\sim$  80% ( $\sim 0.12 \pm 0.03$ ) is lower than its yield at RH  $\sim$  40% ( $\sim 0.16 \pm 0.04$ ) for isoprene – congruent with our observed trends.

We are unsure of the reasons for discrepancies with the Huang *et al.* (2013) work. In addition to the plateauing HMHP yield, Huang *et al.* (2013) reported a declining yield of HCOOH with humidity (*e.g.*, 40% yield of HCOOH at RH 5% that decreases to 30% yield at RH 90%). It is difficult to understand how HCOOH can be produced in higher yields under dry conditions when HCOOH formation from Criegee isomerization is minor compared to the major channel of  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$ .<sup>56,57</sup> Again, given the lower HMHP yields reported by studies using offline analysis techniques, it is possible that aqueous losses may have occurred and direct comparisons are not possible. Furthermore, the bis-hydroxymethyl peroxide (BHMP) reported in Huang *et al.* (2013) (and absent in this work) may hint at side reactions that are symptomatic of the high reagent

concentrations (ppm level) used in that work or condensed-phase chemistry of  $\text{H}_2\text{O}_2$  and HCHO.

### 3.2. Toward a unifying mechanism

Major atmospheric models either do not represent ozonolysis chemistry or provide a significantly abridged version that generally neglects the formation of major compounds such as HMHP.<sup>58,59</sup> Here, we describe a detailed chemical mechanism based on the new data presented in this work and those available in the literature. The *in situ* observations of oxygenated volatile organic compounds and  $\text{HO}_x$  enable us to place new constraints on many aspects of the mechanism. Mechanism simulations of HCHO assumes that are no observational interferences from ROOH or other compounds, which is currently unverified for the LIF instrument used here but has been identified for proton-transfer-reaction (PTR-) and GC-based instruments.<sup>60</sup> The proposed mechanism provides enough chemical specificity to capture the RH-dependent yields of OH, carbonyls (HCHO, MACR, MVK), and major products of  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  chemistry. Although uncertainties persist along several channels in the ozonolysis chemistry, especially in the fate of the  $\text{C}_4$  Criegees, the proposed scheme is a good starting point for further development and use in atmospheric models.

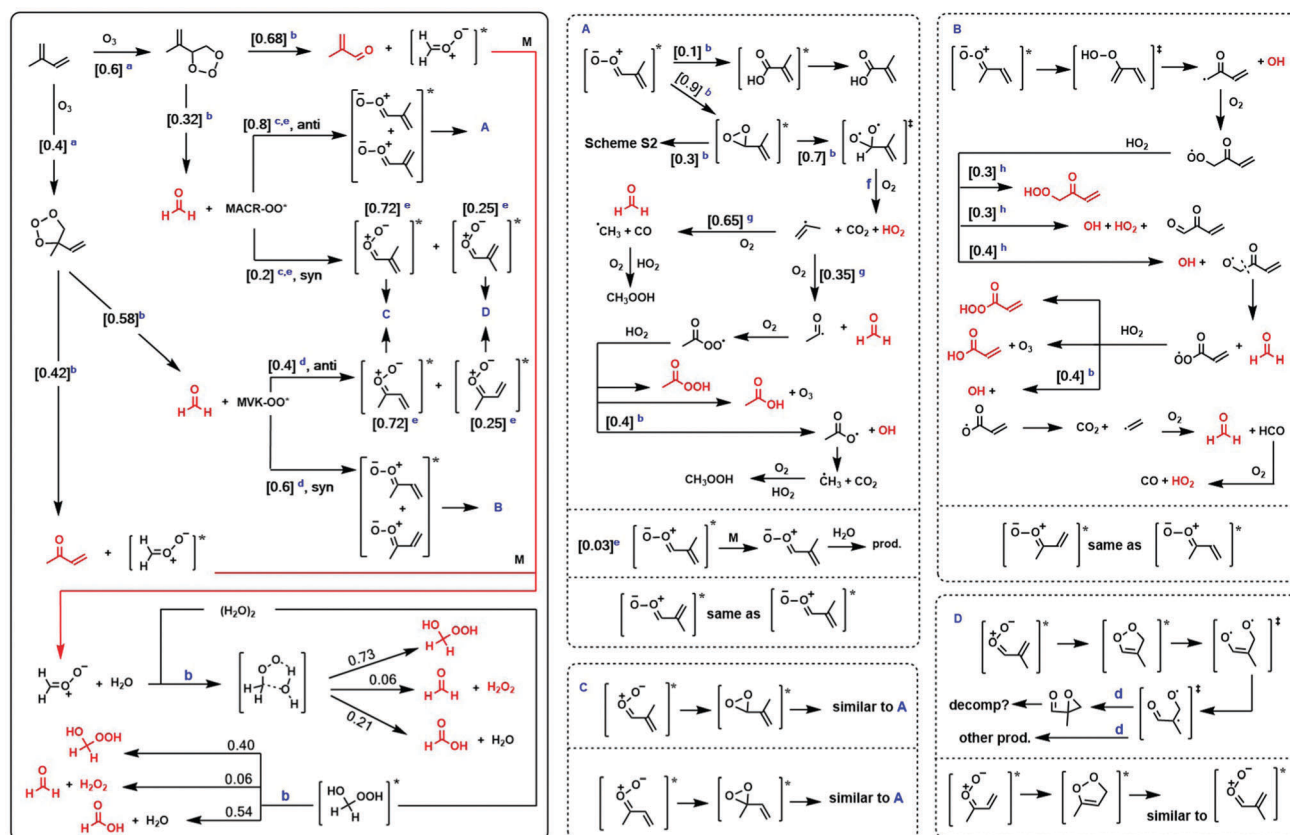


Fig. 4 Overall scheme of isoprene ozonolysis and reactions of Criegee intermediates, with proposed isomerization and decomposition pathways of  $\text{C}_4$  Criegees. Observed product species are shown in red. The reaction of  $\text{CH}_2\text{OO}$  with  $\text{O}_3$  and isoprene, although present in the model mechanism, are not shown in the figure. Literature values: [a] ref. 8, [b] this work, [c] ref. 61, [d] ref. 62, [e] ref. 5, [f] ref. 63–65, [g] ref. 66, [h] ref. 67.

**3.2.1. POZ and C<sub>4</sub> Criegee reactions.** Fig. 4 shows the proposed reaction scheme for isoprene ozonolysis. Compounds observed in this work are shown in red. The chemical structures of some of the minor oxygenated species may not be unique, as this CIMS technique cannot distinguish isobaric species. We used the branching ratios for POZ formation that was suggested by Aschmann and Atkinson,<sup>8</sup> which implies that the lower steric hindrance from the 3,4-addition of ozone is more important than the effect of the electron-donating CH<sub>3</sub> group in the 1,2-addition.<sup>61</sup> It is assumed that there is negligible conformational interconversion between Criegees due to their zwitterionic character,<sup>68,69</sup> *i.e.*, the barrier to interconversion is expected to be large.<sup>70</sup> We note that data available for Criegees with allylic groups, which would presumably facilitate interconversion, is still scarce. Thus, the assumptions and reaction channels discussed here may need to be re-evaluated in future work.

Evidence of bimolecular reactions of the C<sub>4</sub> SCI is not significant. For example, the signals of C<sub>4</sub>  $\alpha$ -hydroxyalkyl hydroperoxides that are analogous to HMHP, *e.g.*, from the reaction of *anti* MACROO + (H<sub>2</sub>O)<sub>*n*</sub>, were not observed here. Furthermore, MACR + MVK yields did not significantly increase following SO<sub>2</sub> addition, *e.g.*, as would be expected from the MACROO + SO<sub>2</sub> → MACR + SO<sub>3</sub> reaction. The insignificant production of MACR from the MACROO + SO<sub>2</sub> reaction and the fast *anti*-SCI + H<sub>2</sub>O rate coefficient determined recently ( $2.4 \times 10^{-14}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>)<sup>71</sup> favor the hypothesis that the stabilization fraction of the C<sub>4</sub> Criegees is small, as opposed a larger population of SCI where bimolecular reactions are non-competitive. Thus, we assumed a Criegee stabilization fraction of 0.03 as suggested by Kuwata and Valin.<sup>62</sup> However, accessible unimolecular pathways of CIs and SCIs are often identical, so it is not possible for this study to fully distinguish the two fates. An SCI unimolecular rate constant of  $\sim 250$  s<sup>-1</sup> would also be consistent with observations. The 0.03 “stabilization fraction” can be viewed as an effective fraction of Criegees that react bimolecularly under H<sub>2</sub>O-dominated conditions. Extensive C<sub>4</sub> Criegee decomposition (hot or thermalized) is consistent with the high yields (>80%) of HCHO that are observed in this work and elsewhere.<sup>48</sup> The production of HCHO from the prompt POZ decomposition is constrained by MVK + MACR yields to be approximately  $\sim 40\%$  by mole with respect to isoprene loss. CH<sub>2</sub>OO is fully scavenged by water in most of our experiments, so little additional HCHO can originate from side reactions of CH<sub>2</sub>OO at atmospherically-relevant RH.

After the POZ decomposition, the distribution of the *syn/anti* conformers of the C<sub>4</sub> Criegees is thought to be asymmetric. We used the branching ratios suggested by Kuwata and coworkers,<sup>5,62</sup> with the caveat that the MVK-OO\* conformer distribution is loosely based on the hot acetaldehyde oxide, for lack of direct information. Unimolecular reactions of the C<sub>4</sub> Criegees have been suggested to occur *via* 5-member dioxole or 3-member dioxirane intermediates.<sup>5,62,72</sup> The model mechanism allows C<sub>4</sub> Criegees that are *syn* and *anti* to vinyl groups to form dioxole and dioxirane intermediates, respectively, using the theoretically-predicted dioxole/dioxirane branching ratios.<sup>62</sup> Dioxoles have been suggested to isomerize into products containing carbonyl

and epoxide functional groups, which may further decompose;<sup>5</sup> however, the CIMS technique used in this work is likely not sensitive to these specific compounds. The dioxole products were not traced in the model because they represent an insignificant fraction of the carbon in the mechanism ( $\sim 3\%$ ) and are not thought to impact OH or HCHO yields. A minor fraction of the *anti* MACROO\* is allocated toward hot acid formation, yielding methacrylic acid (which may also occur *via* a dioxirane intermediate).<sup>73</sup> The dioxirane channels represent a larger fraction of the carbon in the ozonolysis. We followed the recommendations of Peeters, Vereecken, and coworkers,<sup>63,64</sup> in conjunction with observations derived from acetaldehyde oxide,<sup>65</sup> to assign the majority of the dioxirane fate to the decarboxylation pathway (products CO<sub>2</sub> + HO<sub>2</sub> + alkyl radical for dioxiranes in the primary position). A decarboxylation branching ratio of  $\sim 0.7$  gave good agreement with observations. As these dioxiranes have allylic functionality, we assign the balance of the carbon to a proposed isomerization pathway (Scheme S2, ESI<sup>†</sup>) that may form a stable product. The alkyl radical that is produced in the decarboxylation step in Route A is the methylvinyl radical, which is known to generate HCHO + peroxyacetyl radical ( $\sim 0.35$ ) or HCHO + methylperoxy radical + CO ( $\sim 0.65$ ) in the presence of oxygen.<sup>66</sup> It is probable that the observed acetic acid (0.02–0.06 from dry to humid) is produced from the reaction of peroxyacetyl radicals with HO<sub>2</sub> or RO<sub>2</sub>.<sup>74–77</sup> We speculate that the higher acetic acid yield under more humid conditions may be due to unidentified wall reactions. The methylperoxy radical is a precursor to methyl hydroperoxide under HO<sub>2</sub>-dominant conditions. Methyl hydroperoxide has been identified in previous works,<sup>50,78</sup> but without complete mechanistic knowledge of its chemical source.

The *syn* MVKOO\* will decompose to OH and a beta-oxy alkyl radical *via* a vinylhydroperoxide intermediate (B route). The further reactions of the beta-oxy alkylperoxy radical (RO<sub>2</sub>) are much more uncertain. In the mechanism suggested here, this chemistry is proposed to proceed similarly to the RO<sub>2</sub> radicals found in MVK + OH chemistry that have analogous functionality.<sup>67</sup> We followed the recommendations in Praske *et al.* (2015) for the branching ratios of the three product channels with HO<sub>2</sub>: beta-oxy hydroperoxide, 1,2-dicarbonyl + OH + HO<sub>2</sub>, and alkoxy radical (RO) + OH + O<sub>2</sub>. The RO radical fragments to formaldehyde and an acyl radical and promptly reacts with O<sub>2</sub> to produce an acylperoxy (RC(O)OO) radical. The acylperoxy radical may undergo three fates upon reaction with HO<sub>2</sub> (Fig. 4B), modeled after reactions of peroxyacetyl.<sup>79,80</sup> These data suggest that decarboxylation is an important fate for this particular acylperoxy radical, which affects both OH and formaldehyde in the process (*via* the chemistry of the vinyl radical).<sup>81</sup>

**3.2.2. C<sub>1</sub> Criegee + water reaction.** The mechanism illustrated in Fig. 4 was integrated into a kinetic model. Most of the rates and branching ratios available in the literature were imported for use in the model mechanism and assumed to be accurate. The product yields and rate coefficients of Criegee reactions labeled [b] in Fig. 4 were empirically tuned to provide satisfactory agreement with observational data within the full RH range, as shown in Fig. 5. The reaction inputs into the kinetic model are shown in Scheme S1 (ESI<sup>†</sup>). We find that



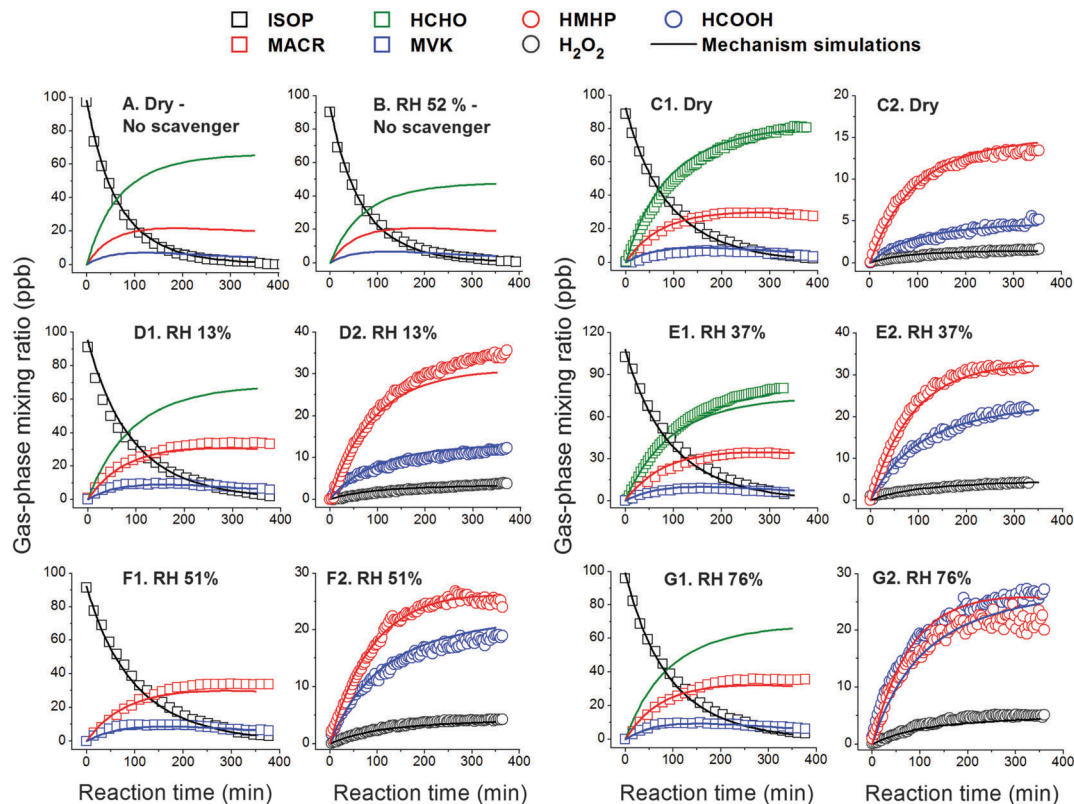


Fig. 5 Panels A–G show the comparison between gas-phase observations from different experiments (various markers) and results from model mechanism simulations based on Fig. 4 (solid lines). Subpanels show the mixing ratios of (1) isoprene and carbonyls and (2)  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  products, when applicable. Simulations under “Dry” conditions used  $\text{RH} = 1.2\%$ . The displayed mixing ratios of HMHP and HCOOH have been corrected for first-order wall loss (Fig. S2, ESI<sup>†</sup>). The model inputs are shown in Scheme S1 (ESI<sup>†</sup>). Experimental conditions for the measured data are found in Table 1.

variations in the molar yields of HMHP, HCOOH, HCHO and  $\text{H}_2\text{O}_2$  with RH can only be simulated if the reaction with water dimer is included in the mechanism. The mixing ratio of water dimers was calculated based on their equilibrium thermodynamics at 295 K (Fig. S5A and B, ESI<sup>†</sup>).<sup>82</sup>

Some of the observed  $\text{H}_2\text{O}_2$  in the ozonolysis experiments (Table 1) originates from the  $\text{HO}_2 + \text{HO}_2 \rightarrow \text{H}_2\text{O}_2 + \text{O}_2$  reaction (Fig. S6 and Scheme S1, ESI<sup>†</sup>). Using published rate constants and  $\text{HO}_2$  observations we estimate the  $\text{HO}_2$  self-reaction accounts for  $\sim 50\%$  of the observed  $\text{H}_2\text{O}_2$ . However, considering the uncertainties in the  $\text{HO}_2$  and  $\text{H}_2\text{O}_2$  observations we cannot exclude the possibility that the  $\text{HO}_2$  self-reaction explains the entirety of the observed  $\text{H}_2\text{O}_2$ , and thus, that the  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n \rightarrow \text{H}_2\text{O}_2 + \text{HCHO}$  channel has zero yield (see error bounds in Fig. S6, ESI<sup>†</sup>). We adjusted the product branching ratios for the  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  reactions to remove the average contribution from  $\text{HO}_x$  chemistry, and this is shown in the left-most panel of Fig. 4. The product branching in the dimer reaction favors formic acid formation over HMHP formation, which is consistent with the suggestion that the reaction of  $\text{CH}_2\text{OO}$  with water dimer to form HMHP is exothermic, and some of the excited HMHP produced by that pathway may further decompose (in this case to formic acid +  $\text{H}_2\text{O}$ ) with water acting as a catalyst.<sup>28</sup>

We used the following rate coefficients for the reaction of  $\text{CH}_2\text{OO}$  with water in the model mechanism:  $k_{\text{H}_2\text{O}} = 9 \times 10^{-16} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  and  $k_{(\text{H}_2\text{O})_2} = 8 \times 10^{-13} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ . The water monomer reaction rate coefficient falls within the upper limit determined by Welz *et al.* (2012)<sup>83</sup> and by other works ( $< 4 \times 10^{-15} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ). The dry ( $\text{RH} \sim 1\%$ ) observations provided constraints for the monomer rate coefficient, and the dimer rate coefficient was adjusted until model results satisfactorily reproduces measurements. We found that a dimer reaction coefficient faster than that of the monomer reaction, but slower than the coefficient suggested by some studies ( $4\text{--}6.5 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ),<sup>19,20,84</sup> gave the best fit with the observational results across all RH conditions.

Fig. S7 (ESI<sup>†</sup>) shows results of a sensitivity study of the water rate coefficients in the model, which concludes that the rate coefficient of Chao *et al.* (2015) is too large to simulate the data in this work. Our suggested dimer rate coefficient of  $k_{(\text{H}_2\text{O})_2} = 8 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  is consistent with those of Newland *et al.* (2015), who found that  $5.6 (\pm 7.0) \times 10^{-13} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  best describe their chamber data. Leather *et al.* (2012) also found the rate coefficient of  $\text{CH}_2\text{OO}$  with “water” to be in the range of  $\sim 1 \times 10^{-15}\text{--}1 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  when measuring HCOOH.<sup>85</sup> HCOOH is the product of both the water monomer and dimer reaction with  $\text{CH}_2\text{OO}$ , so the observed



range is in agreement with this work. The difference between reported  $\text{CH}_2\text{OO} + \text{water}$  dimer rate coefficients in the literature is striking, but the source of the disagreement is unclear.

The reaction timescales, Criegee generation methods, reaction vessel characteristics, and Criegee concentrations in literature works are different – all of which may play a role in the discrepancy. Furthermore, if water vapor may intercept the hot Criegee directly during ozonolysis reactions, in a manner analogous to the interception of excited alkyl radicals by  $\text{O}_2$ ,<sup>86</sup> then there may be significant deviations between chamber and direct kinetic determinations as direct determinations produce and investigate SCI preferentially. The result is a promotion of the  $\text{H}_2\text{O}$  reaction over the  $(\text{H}_2\text{O})_2$  reaction during ozonolysis. Assuming CI interception occurs to a non-negligible extent, observations from chamber studies would be more immediately relevant for atmospheric modeling than current data from direct determinations.

Fig. S5C (ESI†) shows the relative contribution of each reaction using the aforementioned rate coefficients, where the dimer reaction can contribute up to  $\sim 65\%$  as the humidity approaches 100%. However, the water monomer reaction is an important sink for  $\text{CH}_2\text{OO}$  under all atmospherically-relevant RH.

**3.2.3. OH and  $\text{HO}_2$  formation.** The production of OH can be visualized from the decay of isoprene in the experiments where an OH scavenger was not present (Fig. 5A and B). OH was directly measured by LIF; however, the high dilution ratio used in the laboratory to conserve chamber volume degraded the signal-to-noise of the instrument. In addition, the experimental conditions in the reaction (including high peroxide mixing ratios) and unknown interferences that may be related to unsaturated hydrocarbon + ozone chemistry<sup>35,87</sup> resulted in uncertainty bounds in the direct OH determination that were too high to constrain yields. Thus, a combination of modeling and isoprene decay measurements was used to estimate [OH]. Estimations of OH sources in the model mechanism relied on constraints provided by other products. An overall OH yield of approximately  $28(\pm 5)\%$  gives good agreement with observations under both dry and humid conditions, *e.g.*, the comparison between observed and simulated isoprene decays produced least-squares slope = 1.023,  $R^2 = 0.999$  at RH < 4% and slope = 1.015,  $R^2 = 0.998$  at RH 52%, and is consistent with the recommended value by IUPAC (25%).<sup>1</sup> It should be noted that not all of this OH is produced from the prompt VHP channel. This would necessitate almost 100% *syn* branching for  $\text{MVKOO}^*$  and for the following radical chemistry to be OH neutral. Instead, the constraints placed by closed-shell products in the mechanism predict that prompt decomposition (from *syn*- $\text{MVKOO}^*$ ) accounts for a 14% yield of OH, with respect to the reaction of isoprene, and further chemistry of the beta-oxy alkyl radical generates another 12%. The remaining minor fraction arises from unimolecular reactions of the  $\text{C}_4$  Criegees. Neither the POZ decomposition nor the following  $\text{RO}_2$  chemistry is expected to be sensitive to water vapor, in good agreement with the stable OH yields between dry and humid conditions. Our OH source contribution results are fairly consistent with those using a statistical-dynamical master equation and transition state

theory, which predicts an OH prompt production yield of 11% for a total yield of 25%.<sup>61</sup>

$\text{HO}_2$  is generated and consumed along various reaction channels in ozonolysis (Fig. 4). The  $\text{HO}_x$  cycling of OH and  $\text{HO}_2$  also occurs in conjunction with ozonolysis in the model mechanism (Scheme S1, ESI†). Major sources of  $\text{HO}_2$  from ozonolysis include decarboxylation of primary dioxiranes (Route A) and the further chemistry of the beta-oxy alkyl radical (Route B). A major sink of  $\text{HO}_2$  in this work is the reaction with the  $\text{RO}_2$  radical produced from cyclohexane (OH scavenger). As a result, the usage of other OH scavengers may change the  $\text{HO}_2$  concentrations during similar experiments. Fig. S8 (ESI†) shows that  $\text{HO}_2$  simulated using the kinetic model shown in Scheme S1 (ESI†) agrees fairly well with the measured values under dry and humid conditions at the start of the reaction ( $\sim 40$  ppt). As the reaction progresses, however, the agreement worsens (simulated  $\text{HO}_2$  is lower than measured.) We believe this is because the simulated scheme does not trace second generation products, which appear to produce a significant quantity of  $\text{HO}_2$ .

**3.2.4. HCHO formation.** The difference in observed HCHO between the dry (RH  $\sim 1\%$  in the simulation) and RH 37% experiments provides unique insights into the bimolecular reaction of  $\text{CH}_2\text{OO}$  (Fig. 5, Panels C1 and E1). The model predicts that a non-negligible fraction of HCHO can be produced from the  $\text{CH}_2\text{OO}$  reaction with ozone,<sup>13,88</sup> the second most abundant reaction partner for  $\text{CH}_2\text{OO}$  in our experiments, when the reaction conditions are dry. For  $k_{\text{CH}_2\text{OO}+\text{O}_3} \sim 1 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ , as has been previously suggested,<sup>16</sup> the best fit with observations is achieved by assuming a formaldehyde yield of 0.7 (while conserving a faster rate), instead of the recommended value of 1. While it is possible that unknown pathways for the  $\text{CH}_2\text{OO} + \text{O}_3$  reaction exist, we believe it more likely that the model mechanism is missing a Criegee sink that is  $\sim 30\%$  of the effective  $\text{CH}_2\text{OO} + \text{O}_3$  reaction, but does not produce HCHO, when the reaction is performed dry. If a missing sink exists, it is not the Criegee self-reaction, as including even the fastest experimentally-determined rate coefficient<sup>89</sup> did not alter the simulations. All side reactions of  $\text{CH}_2\text{OO}$  become negligible when RH reaches atmospherically-relevant levels. Of the HCHO sources discussed in this work that are important under atmospherically-relevant conditions, the initial POZ decomposition comprises the majority production pathway ( $\sim 60\%$ ). The model simulations predict that unimolecular reactions of  $\text{C}_4$  Criegees to contribute another relative  $\sim 35\%$  and the reaction of  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  is a relatively small ( $\sim 5\%$ ) source of formaldehyde. As we noted above, the yield of HCHO and  $\text{H}_2\text{O}_2$  from this channel could be zero within uncertainties.

**3.2.5. Other reactions of the  $\text{C}_1$  Criegee.** Finally, we use the  $\text{RO}_2 + \text{CH}_2\text{OO}$  rate coefficient of Vereecken *et al.* (2012) ( $k \sim 5 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ )<sup>15</sup> and the OH reaction kinetics for cyclohexane<sup>1</sup> to examine whether the 50 ppm of OH scrubber produces enough  $\text{RO}_2$  to impact  $\text{CH}_2\text{OO}$  yields in the ozonolysis reaction. The model results suggest that cyclohexane  $\text{RO}_2$  radicals were not competitive with water as a Criegee scavenger during the experiments in this work. In addition, inserting the

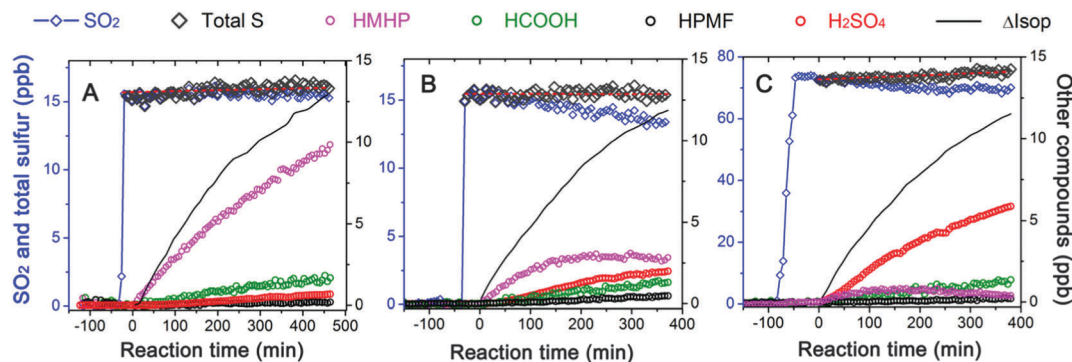


Fig. 6 Relative rate experiments of  $\text{H}_2\text{O}$  and  $\text{SO}_2$  as scavengers for  $\text{CH}_2\text{OO}$ , produced by the ozonolysis of  $\sim 20$  ppbv of isoprene at 298 K, under the following conditions: (A) 15 ppbv of  $\text{SO}_2$  and 20% RH, (B) 15 ppbv of  $\text{SO}_2$  and  $< 4\%$  RH, and (C) 75 ppbv of  $\text{SO}_2$  and  $< 4\%$  RH. Total sulfur (black diamonds) represents the sum of  $\text{SO}_2$  and  $\text{H}_2\text{SO}_4$ .

reaction of isoprene +  $\text{CH}_2\text{OO}$  into the model mechanism ( $k = 1.78 \times 10^{-13} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ),<sup>16</sup> as suggested by Vereecken *et al.* (2014), did not significantly perturb the model simulation results. However, in studies where initial isoprene and ozone are present at ppm levels, the  $\text{CH}_2\text{OO}$  + alkene reaction may play a bigger role. These newly-identified reactions may be one source of discrepancy in literature yield data, underscoring the importance of using atmospherically-relevant mixing ratios of reactants or verifying that secondary chemistry is not affecting laboratory results.

### 3.3. Competitive rates of $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$ and $\text{CH}_2\text{OO} + \text{SO}_2$

Although water reactions are thought to dominate the fate of  $\text{CH}_2\text{OO}$  in the atmosphere,<sup>11,19,20,28,32</sup> it has been suggested that the reaction of SCI with  $\text{SO}_2$  may be important from the perspective of  $\text{H}_2\text{SO}_4$  production and, thus, particle formation.<sup>90</sup> Here, we measure the competitive rates of the reaction of isoprene SCIs with  $\text{H}_2\text{O}$  and  $\text{SO}_2$ , using isoprene mixing ratios that approach atmospheric levels ( $\sim 20$  ppb) and realistic concentrations of  $\text{SO}_2$ . This measurement is sensitive to the combined effects of  $\text{CH}_2\text{OO}$  and the  $\text{C}_4$  SCIs of isoprene. However, it is clear that the dominant fraction of the bimolecular reactivity originates from  $\text{CH}_2\text{OO}$ , illustrated by the similar SCI yields when using  $\text{SO}_2$  as a scavenger compared to  $\text{H}_2\text{O}$  (Table 1). Notably, the orders of magnitude uncertainties that exist in the absolute rate coefficients for  $\text{CH}_2\text{OO}$  bimolecular reactions<sup>17,28,62,83,91–94</sup> become immaterial when determining relative rates.

Fig. 6 shows the reaction progress for three relative rate experiments between  $\text{H}_2\text{O}$  and  $\text{SO}_2$  during an isoprene ozonolysis performed with an OH scavenger. At 15 ppbv of  $\text{SO}_2$  and 20% RH, representative of a dry and polluted day, greater than 90% of  $\text{CH}_2\text{OO}$  reacted with water as evidenced by the large abundance of HMHP and HCOOH as compared to  $\text{H}_2\text{SO}_4$  (Fig. 6A). Only under the driest conditions (RH  $< 4\%$ ) does  $\text{CH}_2\text{OO}$  appreciably oxidize  $\text{SO}_2$  at initial levels of  $\sim 15$  ppbv (Fig. 6B). Although under these conditions, the water reaction is still the major reaction pathway for  $\text{CH}_2\text{OO}$ . Here, we start to witness decreasing mixing ratios of HMHP with time, which is due to heterogeneous loss on acidic surfaces from the  $\text{H}_2\text{SO}_4$  production and is uncorrected in Fig. 6. Only under exceptionally dry (RH  $< 4\%$ ) and exceptionally high

$[\text{SO}_2]_0$  ( $\sim 75$  ppbv) conditions does  $\text{SO}_2$  oxidation become the dominant fate of  $\text{CH}_2\text{OO}$  (Fig. 6C), although these specific conditions are rarely found on Earth.

The relative rate of  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n} = 2.2 (\pm 0.3) \times 10^4$  determined from the data is in good agreement with the  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n}$  range of  $(1-3) \times 10^4$  reported in other studies.<sup>32,83,92</sup> However, these results are considerably different than those of Stone *et al.* (2014),<sup>93</sup> who measured a lower limit of  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n} > 4 \times 10^5$ . Although the source of the discrepancy is not clear, the experiments of Stone *et al.* (2014) were performed differently compared to this work. Stone *et al.* (2014) quantified  $\text{CH}_2\text{OO}$  decay *via* chemical scavenging to form HCHO and made the assumption that HCHO production is proportional to  $\text{CH}_2\text{I}$  (Criegee precursor) concentrations. Like other studies that measure  $\text{CH}_2\text{OO}$  decay,<sup>95</sup> Stone *et al.* (2014) provides lower limits on  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n}$  due to unknown processes that affect the first-order SCI decay when  $\text{H}_2\text{O}$  is added. As this work captures at least one co-product of each branch in the  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  reaction, our measurement can be considered absolute. However, it must be noted that challenges in quantifying low  $[\text{H}_2\text{O}]$  and complex reaction products also give rise to significant uncertainties in this work – a limitation that likely permeates all studies of  $\text{SCI} + (\text{H}_2\text{O})_n$  reactions.

### 3.4. Fates of $\text{CH}_2\text{OO}$ in the atmosphere – a case study from SOAS

To put the competition between the reaction of  $\text{H}_2\text{O}$  and  $\text{SO}_2$  with  $\text{CH}_2\text{OO}$  into perspective, it would require 500 ppbv of  $\text{SO}_2$  to have equal reactivity with  $\text{H}_2\text{O}$  at an average RH of 30% ( $T = 295$  K,  $P = 1$  atm). Many areas of the world are more humid than this RH level, especially in forested areas where biogenic emissions are high. At RH  $> 50\%$ , the amount of  $\text{SO}_2$  needed for equal reactivity would be found only in a power plant or volcanic plume. Here, we examine the fates of  $\text{CH}_2\text{OO}$  and molecular contributors to  $\text{SO}_2$  oxidation in a typical Southeastern United States forest that emits predominantly isoprene during summer. The comprehensive datasets were obtained by multiple investigators as part of the Southern Oxidant and Aerosol Study in Brent, AL during June of 2013 (<https://soas2013.rutgers.edu/>). A time-of-flight  $\text{CF}_3\text{O}^-$  CIMS provided

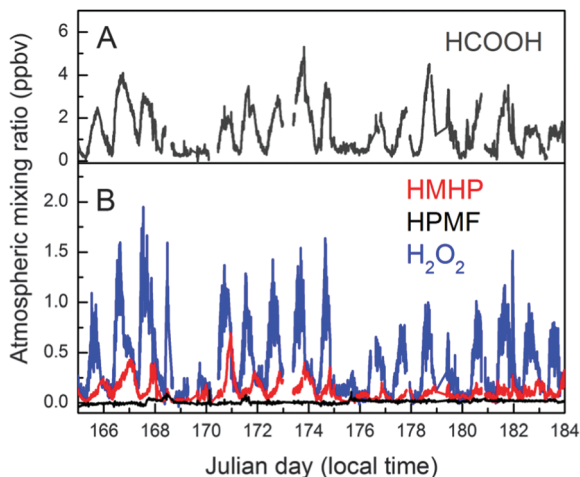


Fig. 7 Measurements of compounds that are formed via  $\text{CH}_2\text{OO}$  bimolecular reactions during the SOAS 2013 campaign (A) formic acid, and (B) HMHP, HPMF, and  $\text{H}_2\text{O}_2$ . HCOOH and  $\text{H}_2\text{O}_2$ , in particular, have multiple photochemical sources.

measurements of  $\text{SO}_2$ ,  $\text{H}_2\text{O}_2$ , and oxidized organic compounds and a commercial weather station (Coastal Environmental Systems model Zeno 3200) provided measurements of  $T$ ,  $P$ , and RH needed to calculate water vapor mixing ratio. The measurement site was occasionally impacted by  $\text{SO}_2$  pollution from nearby power plants.

Fig. 7 shows the measured  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$  products and the  $\text{CH}_2\text{OO} + \text{HCOOH}$  product for the duration of the SOAS study. While HCOOH and  $\text{H}_2\text{O}_2$ , two compounds with multiple photochemical sources, are known to have high concentrations at the Earth's surface, the presence of large abundances of HMHP produced from biogenic ozonolysis chemistry has previously not been fully appreciated. The mixing ratio of HMHP reaches 600 ppt during some events at this site (Fig. 7B). Other limited observations of HMHP report even higher mixing ratios.<sup>96</sup> Concentrations of HMHP are comparable to the sum of two major products of the isoprene + OH oxidation under  $\text{HO}_2$ -dominated conditions (ISOPOOH + IEPOX) during the SOAS campaign.<sup>44</sup> We note, however, that the interpretation of the ambient surface mixing ratio of HMHP is challenging as it is affected by poorly-constrained oxidative and photolytic loss processes. Additionally, the nocturnal peak concentrations of HMHP reflect both chemical production and nighttime boundary layer dynamics.

The persistently humid (2–3 vol%  $\text{H}_2\text{O}$ ) and occasionally polluted atmosphere at SOAS provides a useful case study to examine the reactions of  $\text{CH}_2\text{OO}$  (Fig. S9A and B, ESI†). Despite plumes that approached 10 ppb,  $\text{SO}_2$  only negligibly impacted the  $\text{CH}_2\text{OO}$  fate. Using laboratory-derived relative rate results (Section 3.3),  $\text{CH}_2\text{OO}$  loss in the gas phase was almost entirely controlled by the  $\text{H}_2\text{O}$  reactions (>98%) for every day of the SOAS study (Fig. S10A, ESI†). At peak  $\text{SO}_2$  mixing ratios, the fraction of  $\text{CH}_2\text{OO}$  that was oxidized by  $\text{SO}_2$  was below 1%. In comparison, the effective reaction with HCOOH is slightly more efficient at 1–2% of the total  $\text{CH}_2\text{OO}$  fate due to high HCOOH mixing ratios ( $\sim 4$  ppb, Fig. 7A) and a faster rate coefficient

( $k_{\text{HCOOH}}/k_{\text{SO}_2} \sim 2.8$ ).<sup>52</sup> Still, the product of the  $\text{CH}_2\text{OO} + \text{HCOOH}$  reaction, HPMF, was not present at quantifiable concentrations during the majority of the month-long study (Fig. 7B). Using peak  $\text{SO}_2$  and HCOOH mixing ratios observed during the SOAS campaign, we find that the water reaction is dominant at all atmospherically-relevant RH (Fig. S10B, ESI†).

Given the ubiquity of water in the troposphere, it is more informative to explore the  $\text{CH}_2\text{OO}$  reactions from the point of view of  $\text{SO}_2$  and HCOOH oxidation. We use the observed mixing ratios of ozone, abundant exocyclic alkenes (isoprene and  $\beta$ -pinene), and water vapor to estimate the steady-state concentrations of  $\text{CH}_2\text{OO}$  at this site ( $\sim 2 \times 10^3$  molec  $\text{cm}^{-3}$  in the daytime) for the month-long study (Fig. S8, ESI†). The production term was calculated from the ozonolysis reaction, using respective SCI yields of 0.6 for isoprene (this work) and 0.3 for  $\beta$ -pinene (assuming that the scavenged SCI are mostly  $\text{CH}_2\text{OO}$ ).<sup>49</sup> The loss term assumes  $\text{H}_2\text{O}$  and  $(\text{H}_2\text{O})_2$  are the only sinks for  $\text{CH}_2\text{OO}$  at this site (Fig. S10B, ESI†).  $\alpha$ -Pinene is the second most abundant alkene in this forest but its ozonolysis is not thought to produce  $\text{CH}_2\text{OO}$ . The rate coefficients of relevant reactions<sup>1,97</sup> were calculated using measured temperature inputs (292–306 K) during SOAS:  $k_{\text{ISO}+\text{O}_3}$  ( $\sim 1 \times 10^{-17}$   $\text{cm}^3$  molec<sup>-1</sup> s<sup>-1</sup>),  $k_{\text{BPIN}+\text{O}_3}$  ( $\sim 2 \times 10^{-17}$   $\text{cm}^3$  molec<sup>-1</sup> s<sup>-1</sup>),  $k_{\text{OH}+\text{SO}_2}$  ( $\sim 1 \times 10^{-12}$   $\text{cm}^3$  molec<sup>-1</sup> s<sup>-1</sup>), and  $k_{\text{OH}+\text{HCOOH}}$  ( $\sim 4.5 \times 10^{-13}$   $\text{cm}^3$  molec<sup>-1</sup> s<sup>-1</sup>). The average OH concentration used in the calculation was  $1 \times 10^6$  molec  $\text{cm}^{-3}$ . For  $\text{CH}_2\text{OO}$  reaction coefficients, we used  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n} \sim 2.2 \times 10^4$  (where  $n = 1, 2$ ; this work), absolute rate coefficients as reported in Section 3.2.2, and two different relative rate determinations for HCOOH reactions that are notably different:  $k_{\text{HCOOH}}/k_{\text{SO}_2} \sim 2.8$  (“ $k_{\text{HCOOH}} 1$ ”)<sup>52</sup> and  $k_{\text{HCOOH}}/k_{(\text{H}_2\text{O})} \sim 1.4 \times 10^4$  (“ $k_{\text{HCOOH}} 2$ ”).<sup>27</sup>

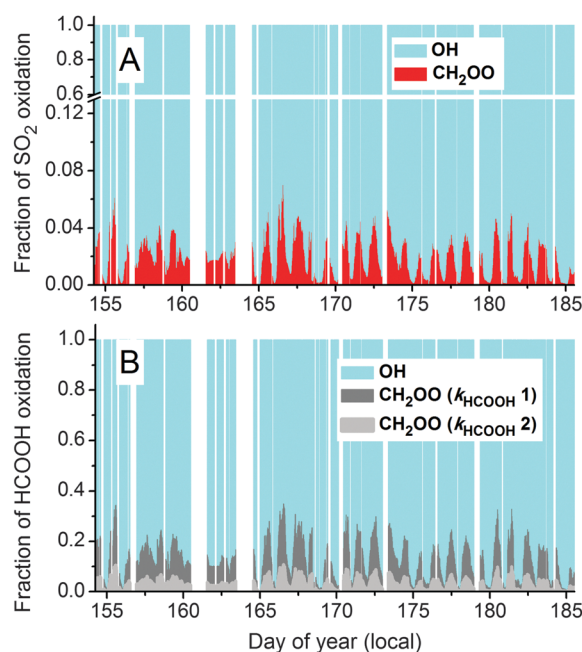


Fig. 8 Significance of  $\text{CH}_2\text{OO}$  as an oxidant for (A)  $\text{SO}_2$  and (B) HCOOH. In panel B, relative rate determinations by (1) Sipila *et al.* (2014) and (2) Neeb *et al.* (1997) were used.



Using  $k_{\text{SO}_2}/k_{(\text{H}_2\text{O})_n}$  and  $k_{(\text{H}_2\text{O})_n}$  in this work,  $k_{\text{HCOOH } 1} \approx 5.5 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  and  $k_{\text{HCOOH } 2} \approx 2.0 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ .

Fig. 8A shows that  $\text{CH}_2\text{OO}$  accounts for <6% of the gas-phase  $\text{SO}_2$  oxidation at the SOAS site. This is in stark contrast to the proposed 50% contribution of “compound X” (suggested to be related to Criegee chemistry) to the oxidation of  $\text{SO}_2$  in a Finnish boreal forest.<sup>90</sup> The discrepancy has been suggested to be due to the different distribution of volatile alkenes in boreal forests, *i.e.*, that the SCI from  $\alpha$ -pinene may have a higher relative contribution to  $\text{SO}_2$  oxidation. However, given the large OH yields from  $\alpha$ -pinene ozonolysis (0.70–0.91),<sup>98–102</sup> and the fast decomposition rates of larger Criegees (this work and elsewhere), the population of SCIs that are available for bimolecular reaction from  $\alpha$ -pinene is expected to be small and their contribution to sulfate formation, thus, an open question. In cleaner environments, a significant pathway toward new particle formation may be the production of extremely low volatility compounds from  $\alpha$ -pinene ozonolysis,<sup>103,104</sup> through the VHP channel and subsequent autoxidation reactions<sup>105</sup> of the  $\text{RO}_2$ . In comparison,  $\text{CH}_2\text{OO}$  may oxidize a larger amount (<35%) of  $\text{HCOOH}$ , as its  $\text{CH}_2\text{OO}$  reaction is faster and its OH reaction is slower than the analogous reactions for  $\text{SO}_2$ . However, depositional losses, instead of oxidation, is thought to dominate the atmospheric fate of  $\text{HCOOH}$  ( $\tau_{\text{dep}} \sim 20\text{--}40 \text{ h}$  (at 1.5 km PBL height),  $\tau_{\text{OH}} \sim 620 \text{ h}$ ,  $\tau_{\text{SCI}} \sim 1800 \text{ h}$ ).<sup>44</sup> Finally, we conclude that  $\text{CH}_2\text{OO}$  does not significantly affect the atmospheric lifetime of  $\text{SO}_2$  or  $\text{HCOOH}$ .

## 4. Atmospheric implications

This work provides new insights into the reactions of isoprene-derived Criegee intermediates, especially for the decomposition pathways of the excited  $\text{C}_4$  Criegees where scarce experimental data are available. The model mechanism in this work suggests that  $\text{C}_4$  Criegees decompose to OH, HCHO, and other products in the atmosphere without significantly producing SCI that participate in bimolecular reactions. Some existing atmospheric models, such as the Master Chemical Mechanism (MCM), assume the  $\text{C}_4$  Criegees lose  $\text{O}(^3\text{P})$  to form MVK and MACR, although this is not supported by our observations. A significant portion of the OH and HCHO yields is secondary. One reaction, subset  $\text{CH}_2\text{OO} + (\text{H}_2\text{O})_n$ , accounts for almost all of the SCI bimolecular reactions in isoprene ozonolysis under typical atmospheric conditions. This implies that isoprene-derived SCIs are a negligible contributor to  $\text{H}_2\text{SO}_4$  production in the atmosphere. If stabilized Criegees indeed play a role in new particle formation, the events will be localized to regions that are not dominated by the reactivity of ozone with isoprene. Those areas may instead be abundant in the small hydroperoxides that are quickly deposited to plant canopies.<sup>44</sup> Our data are consistent with the suggestion that isoprene emissions can suppress new particle formation,<sup>106</sup> although the specific inhibition mechanism is still unclear, while these events readily occur in boreal forests.<sup>107</sup> Discussions of whether monoterpene SCI in Boreal forests may appreciably oxidize  $\text{SO}_2$  will hinge on

the understanding of their unimolecular lifetimes and  $(\text{H}_2\text{O})_n$  reactivity. Lastly, due to the structurally-specific reactivities of SCI, model simulations of ozonolysis chemistry should explicitly speciate alkenes and incorporate a conformationally-dependent reaction scheme. Incorporation of the isoprene ozonolysis mechanism (Fig. 4 and Scheme S1, ESI†) into atmospheric models will likely improve the accuracy of OH,  $\text{HO}_2$ , and trace gas simulations in the atmosphere.

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